

### DEPARTMENT OF THE TREASURY DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION

Overnight mail: 33 West State St, 9<sup>th</sup> Floor Trenton, NJ 08608 U.S. Postal Service:
PO Box 034
Trenton, NJ 08625-0034

### MATERIAL TESTING LABORATORY PREQUALIFICATION APPLICATION FORM 48T

ALL INFORMATION SUBMITTED IS SUBJECT TO VERIFICATION AND ANY FALSEHOODS WILL EXPOSE A FIRM TO POSSIBLE CIVIL AND CRIMINAL PROCEEDINGS AND DISBARMENT FROM FUTURE WORK.

If you have any questions about the process, contact the Consultant Prequalification Unit at 609-777-4561.

Revisions *to sections 17, 23 & 24 - 10/4/2013* 

# State of New Jersey Department of the Treasury Division of Property Management and Construction

# MATERIAL TESTING LABORATORY PRE-QUALIFICATION APPLICATION

<b>FORM</b>	Л

48T 8/20

and Construction				
FIRM NAME/BUSINESS ADDRESS:	2.	FEDERAL TAX ID NUMBER:	3. DATE PREPARED:	
County:  Principal Contact: Phone: ( )  Year Firm Established: Staff Size: Fax: ( E-Mail Address:  7. NAME/ADDRESS OF PARENT FIRM (if any): IF S  ⇒□	Ce	Individual Partnership Professional Corporation Corporation (list State) Professional Association L.L.Corporation L.L. Company Other (Specify) at of state laboratories must provide a copy of crtificate of Authority. Application available at tp://www.nj.gov/treasury/revenue/pdforms/pubrec.	☐ AASHTO ☐ ☐ ☐ CCRL ☐	CATE te of New Jersey"
Principal Contact: Phone: ( )				
E-Mail Address:				
9. LIST <u>SINGLE</u> SATELLITE OFFICE TO BE CONSI QUALIFICATION RATING: List other satellite office miles of the office listed in #1 above on additional she HERE ⇒□	ces, located within 100		horities, etc. by which the firm listed in Box 1 is prese	ntly pre-qualified.
Principal Contact: Phone: ( )				
Year Satellite Office Established: Staff Size:				
E-Mail Address:				
11. FIRM/PRINCIPAL MEMBERSHIPS (Attach Proof)		AGENCY	CONTACT PERSON PHON	IE NUMBER
$\square$ A.S.T.M $\square$ A.G.C. $\square$ A.G.C	.N.J.			
☐ U.T.C.A ☐ N.J.A.P.A. ☐ N.I.C.I	E.T.			
□ N.T.S.T. □ S.A.T. □				
□ A.C.I. □ A.W.S. □				

12. ORGANIZATION CHART (Include parent firm and satellite offices if applicable)

13. LICENSED CERTIFIED STA	13. LICENSED CERTIFIED STAFF OF FIRM LOCATED AT THE ADDRESSES LISTED IN BOX(ES) 1 AND 9 (See Instructions)								
		NJ LICENSE NUMBER							
		OR CERTIFYING AGENCY	<u>ORIGINAL</u>						
<u>NAME</u>	DISCIPLINE	<u>IF APPLICABLE</u>	SIGNATURE						

14. BRIEF RESUME OF ALL PRINCIPALS AND KEY PERSONNEL								
A. NAME AND TITLE		A. NAME AND TITLE						
B. YEARS EXPERIENCE: THIS FIRM	: OTHER FIRMS:	B. YEARS EXPERIENCE: THIS FIRM:	OTHER FIRMS:					
C. ACTIVE REGISTRATION: (Attach co	opies if other than RA, LS,PE,PP or LA)	C. ACTIVE REGISTRATION: (Attach copies	s if other than RA, LS,PE,PP or LA)					
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D. BRIEF RESUME:		D. BRIEF RESUME:						

15. BRIEF RESUME OF CERTIFIED TECHNICAL STA	FF
A. NAME AND TITLE	A. NAME AND TITLE
B. YEARS EXPERIENCE: THIS FIRM: OTHER FIRMS:	B. YEARS EXPERIENCE: THIS FIRM: OTHER FIRMS
C. ACTIVE REGISTRATION: (Attach copies)	C. ACTIVE REGISTRATION: (Attach copies)
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D. DDIEE DEGLINE	D. DDIEE DEGLIAGE
D. BRIEF RESUME:	D. BRIEF RESUME:

16. STOCK	HOLDER/O	COMMON DISCL	OSUR	E		
List below the national interest in the firm	mes, home add	resses, offices held and o	wnership	interest of a	Il individuals, partnerships, corporessary, list on an attached sheet.	rations or any other owner with 5% or more
interest in the firm		(1 01 this Form 481. 113	additional	space is nec	SHARES OWNED	
<u>NAME</u>	<u>H</u>	IOME ADDRESS		FFICE <u>HELD</u>	OR % <u>PARTNERSHIP</u>	<u>ORIGINAL</u> <u>SIGNATURE</u>
CDOSS EEES EE	DOM CONTRA	CTS ENTERED INTO	IN THE E	ACT 5 VEA	DC.	
I	From All Entities	From State Govt. From	Local Gov	t. From Fed	leral	
(I	(nc. Private Sector)	Entities E	ntities \$	Govt. Ent	ities	Comments
Year Most recent yr.	<u> </u>	•	Ψ	Ψ		
Year						
Year						
Year						
Year						

16	. STOCKHOLDER/COMMON DISCLOSURE continued	
a)	Is the applicant firm identified in Box 1 of this application owned by any other company and/or corporation? (If yes, please complete a separate disclosure form for the parent company.)	Yes No
b)	Within the past 5 years, has the applicant firm been owned by another company or firm? (If yes, please complete a separate disclosure form for the parent company.)	Yes No
c)	Have any principals or entity listed in this application ever been arrested, charged, indicted or convicted of a crime? (If yes, attach an explanation for each instance.)	☐ Yes ☐ No
d)	Has any person or entity listed in this application ever been suspended, debarred or otherwise declared ineligible, by any agency of government, from contracting to provide services, labor, material or supplies? (If yes, attach an explanation for each instance.)	Yes No
e)	Has any federal, state or local government license, permit or other similar authorization necessary to perform the work applied for herein, and held or applied for by any person or entity listed in this form been suspended or revoked, or is the subject of any pending proceedings pecifically seeking or litigating the issue of suspension or revocation? (If yes, attach an explanation for each instance.)	☐ Yes ☐ No
f)	Are there currently any administrative, civil or criminal matters pending in any federal, state or local government jurisdiction in which the firm or its principals or key personnel are involved? (If yes, attach an explanation for each instance.)	☐ Yes ☐ No
g)	Has the applicant firm ever been denied pre-qualification in the past under this name or another? (If yes, attach an explanation for each instance.)	Yes No
h)	At present or during the past 5 years, have any of the principals or key personnel of the applicant firm served as a principal or key personnel or owned 5% or more of any other firm (including firms that are inactive or have been dissolved)? (If yes, give name, name of firm, position held, % owned, remainder owned by, and dates owned.)	☐ Yes ☐ No
i)	Has the applicant firm, its affiliate or any of its principals or key personnel been a party to a bankruptcy or re-organization proceeding? (If yes, provide caption, date, docket number, court and county.)	☐ Yes ☐ No
j)	In the past 5 years has the applicant firm or any of its affiliate firms: a. had a contract terminated? b. been given a final unsatisfactory performance rating on a specific project? c. had liquidated damages assessed against it in connection with a contract? d. engaged in any litigation with regard to any contract? (If yes to any of the above, explain.)	☐ Yes       ☐ No         ☐ Yes       ☐ No         ☐ Yes       ☐ No         ☐ Yes       ☐ No
k)	Do any of the principals of the applicant firm have an ownership interest in any other entity which is in the same line or business for which the firm is now seeking pre-qualification?  (If yes, identify the name, address and federal tax ID number for such entity and the nature of the ownership interest.)	☐ Yes ☐ No

17. Financial Statement Information – the applicant firm must submit one of the following:

#### **REQUIRED INFORMATION**

(See "Instructions for Form 48T" Page 5, Box – 17)

FINANCIAL STATEMENTS FOR THE MOST RECENT TWO YEARS. MAY BE PRESENTED IN TWO STATEMENTS OR AS SINGLE STATEMENT COVERING THE MOST CURRENT TWO YEARS. STATEMENT(S) MUST BE COMPLETED BY AN ACCOUNTANT OR CERTIFIED PUBLIC ACCOUNTANT AND MUST BE ACCOMPANIED BY A COPY OF THE ACCOUNTANT'S SIGNED COVER LETTER/REPORT. NOTE – STATEMENTS ARE SUBJECT TO VERIFICATION. FALSE INFORMATION MAY RESULT IN CIVIL/CRIMINAL PENALTIES AND/OR DEBARMENT.

#### **Preferred**

- <u>Audited</u> Financial Statements for last two years including:
  - Auditor's reports
  - Balance Sheets
  - Statements of Income & Retained Earnings
  - All footnotes to these statements
- Corporate Annual Report (if applicable)

#### If not available, then

- <u>Reviewed</u> Financial Statements for last two years including:
  - Balance Sheets
  - Statements of Income and retained earnings
  - All footnotes to these statements

#### If not available, then

- <u>Compilations</u> for last two years including:
  - Balance Sheets
  - Statements of income and retained earnings
  - All footnotes to these compilations

18. TESTING EQUIPMENT (IN-HOUSE AND FIELD)									
NAME, MANUFACTURER MODEL AND SERIAL NO. OF EQUIPMENT	TEST FUNCTION	NAME, ADDRESS, PHONE NO. AND CONTACT PERSON OF SERVICE CONTRACTOR (IF NONE INSERT "NONE")	REQUESTED/ RECOMMENDED CALIBRATION INTERVAL (IF NONE INSERT "NONE")	DATE OF LAST CALIBRATION INSERT "N/A" IF NOT APPLICABLE					

19. TESTI	19. TESTING SERVICES OFFERED							
CHECK TYPE OF SERVICE YOUR FIRM OFFERS	CODE	TESTING SPECIALTY	NAME OF RESPONSIBLE PRINCIPAL, KEY PERSON OR CERTIFIED PERSON (FULL TIME)	SIGNATURE OF RESPONSIBLE PERSON (SEE INSTRUCTIONS)	NUMBER OF TECHNICAL STAFF LOCATED AT FIRM (BOX 1)	NUMBER OF TECHNICAL STAFF IN OTHER OFFICES (BOX 9)	TOTAL TECHNICAL STAFF (ADD ACROSS)	
	A.	CONSTRUCTION MATERIALS TESTING						
	A.1	SOILS						
	A.2	WOOD						
	A.3	CONCRETE						
	A.4	MASONRY						
	A.5	ROOFING						
	A.6	FIREPROOFING						
	A.7	STRUCTURAL STEEL						
	A.8	ASPHALT						
	A.9	AGGREGATES						
	A.10	PAINT/FINISHES						
	A.11	PILES						
	A.12	NUCLEAR DENSITY						
	A.13							
	A.14							
	A.15							

19. TESTING SERVICES OFFERED (continued)								
CHECK TYPE OF SERVICE YOUR FIRM OFFERS	CODE	TESTING SPECIALTY	NAME OF RESPONSIBLE PRINCIPAL, KEY PERSON OR CERTIFIED PERSON (FULL TIME)	SIGNATURE OF RESPONSIBLE PERSON (SEE INSTRUCTIONS)	NUMBER OF TECHNICAL STAFF LOCATED AT FIRM (BOX 1)	NUMBER OF TECHNICAL STAFF IN OTHER OFFICES (BOX 9)	TOTAL NUMBER OF TECHNICAL STAFF (ADD ACROSS)	
	B.	GEO-TECHNICAL						
	B.1	BORINGS						
	B.2	PERCULATION/EXFILTRATION						
	B.3	CONTROLLED FILL						
	B.4	GROUNDWATER MONITORING WELLS						
	B.5	OBSERVATION WELLS						
	B.6							
	B.7							
	С	NON-DESTRUCTIVE						
	C.1	RADIOGRAPHY						
	C.2	ULTRASONIC						
	C.3	MAGNETIC PARTICLE						
	C.4	LIQUID PENETRANT						
	C.5	RADIOISOTOPE MOISTURE SURVEY						
	C.6	THERMOGRAPHIC SURVEY						
	C.7	VIDEO SURVEY (SEWER/DRAIN)						
	C.8	ELECTRICAL SYSTEMS						
	C.9	AIR BALANCING						
	C.10							
	C.11							

19. TESTING SERVICES OFFERED (continued)									
CHECK TYPE OF SERVICE YOUR FIRM OFFERS	CODE	TESTING SPECIALTY	NAME OF RESPONSIBLE PRINCIPAL, KEY PERSON OR CERTIFIED PERSON (FULL TIME)	SIGNATURE OF RESPONSIBLE PERSON (SEE INSTRUCTIONS)	NUMBER OF TECHNICAL STAFF LOCATED AT FIRM (BOX 1)	NUMBER OF TECHNICAL STAFF IN OTHER OFFICES (BOX 9)	TOTAL TECHNICAL STAFF (ADD ACROSS)		
	D.	ENIRONMENTAL TESTING & ANALYSIS (Attach DEP Lab Certifications)							
	D.1	HAZARDOUS GASES/LIQUIDS							
	D.2	ASBESTOS							
	D.3	LEAD							
	D.4	PCB							
	D.5	BIOLOGICAL							
	D.6	INDOOR AIR QUALITY							
	D.7	WATER & WASTEWATER BACTERIOLOGICAL							
	D.8	GROUNDWATER							
	D.9	SOIL							
	D.10	AIR POLLUTANTS							
	D.11								
	D.12								
	D.13								
	D.14								

20. IN ORDER TO ACHIEVE PRE-QUALIFICATION IN A SPECIFIC SPECIALTY, A MINIMUM OF THREE (3) PROJECTS MUST BE LISTED, TWO (2) OF WHICH HAVE BEEN COMPLETED. ALL PROJECTS MUST HAVE BEEN COMPLETED WITHIN THE PAST TEN (10) YEARS.					
CODE NUMBER OF TESTING SERVICES PROVIDED	PROJECT NAME, LOCATION, AND BRIEF DESCRIPTION	A/E OR RECORD CONTACT PERSON AND PHONE NO.	DATE SERVICES PROVIDED		
		<u> </u>			

21. IDENTIFY INSURANCES CURRENTLY HELD BY YOUR FIRM:					
ТҮРЕ	CARRIER, AGENT ADDRESS, NAME AND PHONE NUMBER	POLICY LIMITS			
Workers Compensation					
Multiple Peril					
Vehicle					
General Liability					
Medical					
Professional Liability					
Other:					
22. INCLUDE INFORMATION O (Attach a separate sheet if necessary)	R DESCRIPTIONS OF ACHIEVEMENTS AND AWARDS REC	CEIVED			

23.CERTIFICATION OF PRINCIPALS:		
CERTIFICAT	<u>ION</u>	
Each <u>Principal</u> identified in Box 14 must complete this certification. Certifications must	be notarized when signed.	
A MATERIAL FALSE STATEMENT OR OMISSION MADE IN CONNECTION V TO CIVIL AND CRIMINAL PENALTIES AVAILABLE AT LAW.	VITH THIS APPLICATION WILL	SUBJECT THE APPLICANT FIRM
I, being duly sworn, state that I am	(title)	, and that I (firm name)
I certify that to the best of my knowledge the information given in response to each question	on and the appendices is full, complet	e and truthful.
I acknowledge that the New Jersey Department of the Treasury may, by means it deems apaplication.	opropriate, determine the accuracy and	d truth of the statements made in the
I recognize that all the information submitted is for the express purpose of inducing the De allow the applicant to participate in professional consultant services contracts.	partment of the Treasury to pre-quali	fy the applicant, award a contract and/or
I agree and warrant that truthfully answering the questions on this application is an event e <u>civil/criminal penalties and/or debarment.</u>	ntirely within my control. <u>I realize th</u>	at false information may result in
I understand and agree that the application and all supporting documentation filed with the Treasury.	Department of the Treasury shall bec	come the property of the Department of the
I authorize the Department of the Treasury to contact any entity or person named in the app	plication for purposes of verifying the	e information supplied by the applicant.
Sworn to before		
This day of	Name (print)	Date
	Original Signature	Title
Original SignatureNOTARY PUBLIC		

#### 24. CERTIFICATION BY PREPARER

I, being duly sworn upon my oath, hereby represent and state that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I acknowledge that the New Jersey Department of the Treasury is relying on the information contained herein and thereby acknowledge that I am under a continuing obligation from the date of this certification through the completion of any contracts with the Department of the Treasury to notify the Department of the Treasury in writing of any changes to the answers or information contained herein. A material false statement or omission made in connection with this application will subject the applicant firm and me to civil and criminal penalties available in law, as well as possible debarment. I authorize the Department of the Treasury to verify any answer(s) contained herein, to investigate my background and credit worthiness and of the firm stated herein and to enlist the aid of third parties in its investigative process.

I, being duly authorized, certify that the information supplied above, including all attached pages, is complete and correct to the best of my knowledge.

ATTESTED: Sworn and subscribed to before me

on the	day of	Original Signature:	Date:
		PRINT OR TYPE Name: _	 _
Original Signature:		Title:	

#### Send completed 48A to:

**NOTARY PUBLIC** 

## DEPARTMENT OF THE TREASURY Division of Property Management & Construction Consultant Prequalification

Overnight mail: U.S. Postal Service: 33 West State St, 9th Floor PO Box 034

Trenton, NJ 08608 Trenton, NJ 08625-0034

Please note: U.S. Postal service overnight mail is delivered to the Capitol Post office. It does <u>not</u> arrive in our office the next day, but several days later.

Affix
Corporate Seal
If applicable